

### Audit Plan Scope with 2009 RAA Provisions

RAA Provision	Obligation	AUDIT OBJECTIVE
3.3.1 to 3.3.5	Whois – Interactive Webpage, Corresponding Data Elements	To confirm that Whois Interactive Webpage, Corresponding Data Elements and Whois output is in compliance with requirements
3.4.1 to 3.4.2	Retention of Registration Data	To verify that registration records are retained, as required
3.7.7.1 to 3.7.7.12	Registered Name Holders registration agreement compliance	To verify that Registrar enters into agreements with all Registered Name Holders and such agreements include mandatory provisions.
3.7.8	Registration information verification	To verify that Registrar performs (a) verification, at the time of registration, of contact information associated with a Registered Name sponsored by Registrar or (b) periodic re-verification of such information.
3.12	Reseller Agreement (mandatory provisions)	To verify that agreements with resellers contain mandatory provisions
3.12.4	Reseller compliance with ICANN Proxy Accreditation Program	To verify that Reseller ensured that the identity and contact information provided by the customer of any privacy or proxy registration service offered or made available by reseller in connection with each registration is handled as required by RAA.
3.13	Registrar Training	To verify that Registrar's primary contact or designee have completed a required training course
3.15	Registrar provision of link to Registrant Educational Information	To verify that Registrar offered a link on Registrar's webpage to its Registered Name Holders with links to policies or notifications required to be displayed under ICANN Consensus Policies
3.16	Registrar contact details on registrar's website	Registrar shall provide on its website its accurate contact details including a valid email and mailing address
4.1	Compliance with: Expired Domain Deletion Policy Whois Data Reminder Policy Uniform Name Dispute Resolution Policy	To verify that Registrar complies with and implements all Consensus Policies and Temporary Policies in existence
5.11	Update contact information in RADAR	To verify that Registrar contact information in RADAR is current